



Institut  
Mines-Télécom

# Performance Optimizations of Integrity Checking based on Merkle Trees

Salaheddine OUAARAB

[<ouaarab@enst.fr>](mailto:ouaarab@enst.fr)

June 14, 2015





# Table of contents

Introduction

Merkle Trees Management

Merkle Tree Caches

Experiments and Results

Conclusion



# Plan

Introduction

Merkle Trees Management

Merkle Tree Caches

Experiments and Results

Conclusion



# Context

- The integrity protection of a large data structure stored on an untrusted medium is frequently one of the weakest points on the security point of view

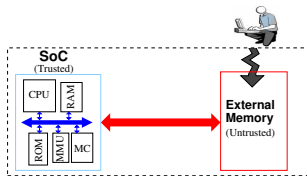


# Context

- The integrity protection of a large data structure stored on an untrusted medium is frequently one of the weakest points on the security point of view
- Many fields are concerned like cloud computing, database and embedded systems

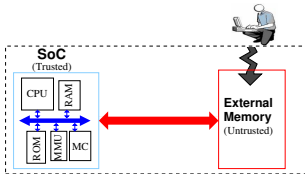
# Context

- The integrity protection of a large data structure stored on an untrusted medium is frequently one of the weakest points on the security point of view
- Many field are concerned like cloud computing, database and embedded systems

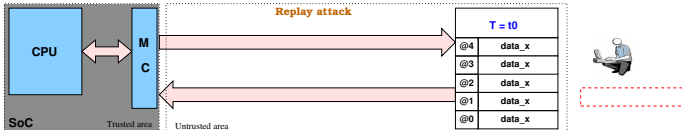


# Context

- The integrity protection of a large data structure stored on an untrusted medium is frequently one of the weakest points on the security point of view
- Many field are concerned like cloud computing, database and embedded systems

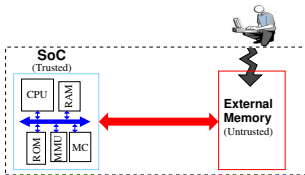


- The most difficult attack to counter is **replay attack**

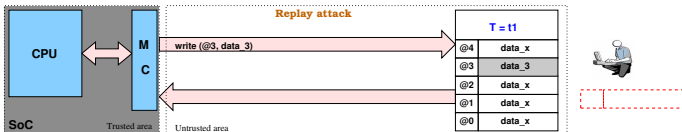


# Context

- The integrity protection of a large data structure stored on an untrusted medium is frequently one of the weakest points on the security point of view
- Many field are concerned like cloud computing, database and embedded systems



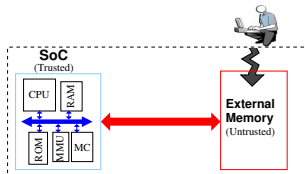
- The most difficult attack to counter is **replay attack**



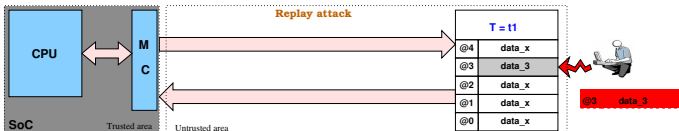


# Context

- The integrity protection of a large data structure stored on an untrusted medium is frequently one of the weakest points on the security point of view
- Many field are concerned like cloud computing, database and embedded systems

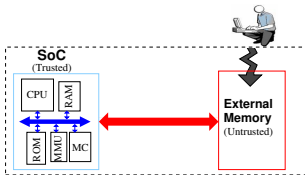


- The most difficult attack to counter is **replay attack**

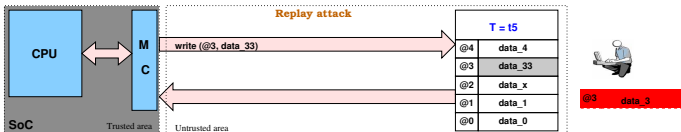


# Context

- The integrity protection of a large data structure stored on an untrusted medium is frequently one of the weakest points on the security point of view
- Many field are concerned like cloud computing, database and embedded systems

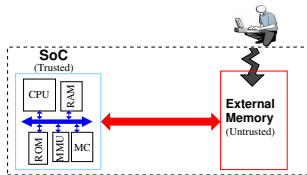


- The most difficult attack to counter is **replay attack**

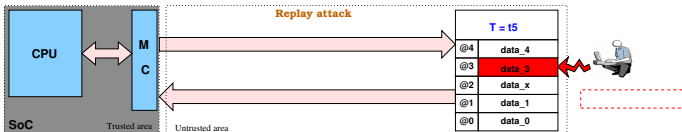


# Context

- The integrity protection of a large data structure stored on an untrusted medium is frequently one of the weakest points on the security point of view
- Many field are concerned like cloud computing, database and embedded systems

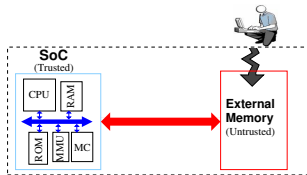


- The most difficult attack to counter is **replay attack**

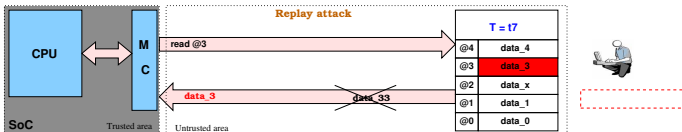


# Context

- The integrity protection of a large data structure stored on an untrusted medium is frequently one of the weakest points on the security point of view
- Many field are concerned like cloud computing, database and embedded systems

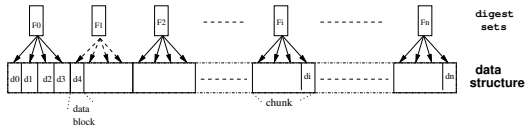


- The most difficult attack to counter is **replay attack**



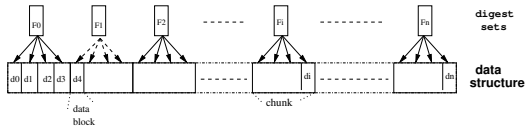
# Context

- The integrity protection requests the use of one-way function (hash function or MAC)

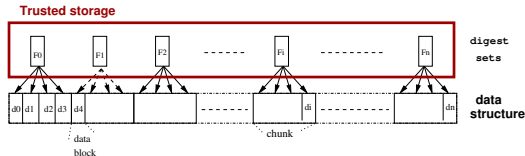


# Context

- The integrity protection requests the use of one-way function (hash function or MAC)

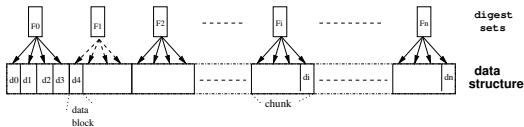


- **And** a secure storage (to counter the replay attack)

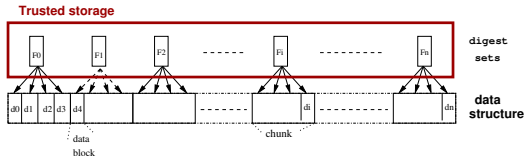


# Context

- The integrity protection requests the use of one-way function (hash function or MAC)



- **And** a secure storage (to counter the replay attack)

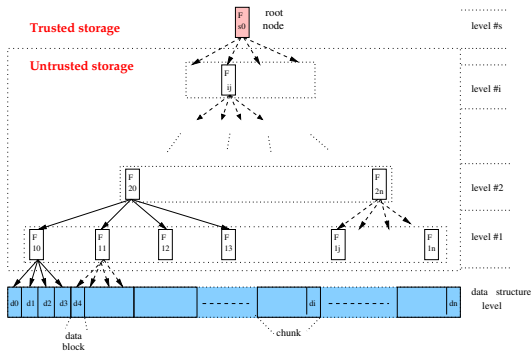


- **But** the secure storage is usually **small** and **expensive**

# Merkle Tree

## Definition

- Merkle Tree hierarchically organises the reference digests and stores the root in the secure storage







# Plan

Introduction

**Merkle Trees Management**

Merkle Tree Caches

Experiments and Results

Conclusion



# Merkle Tree

## Problematic

### Issue

Merkle tree leads to significant storage and performance overheads:

- initialization: based on iterative function
- Integrity Checking / Update: increase the number of untrusted storage access and digest computations

# Merkle Tree

## Problematic

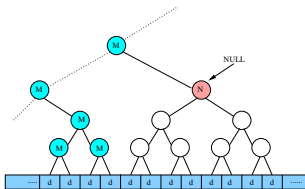
### Issue

Merkle tree leads to significant storage and performance overheads:

- initialization: based on iterative function
- Integrity Checking / Update: increase the number of untrusted storage access and digest computations

### Optimization

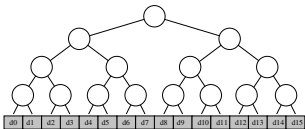
- Initialization: introduce **Hollow Merkle tree**
- Integrity Checking / Update: use of a customized **cache** located inside a secure area.



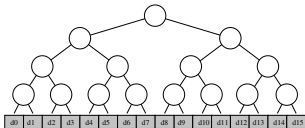
# Merkle Trees

## Initialization

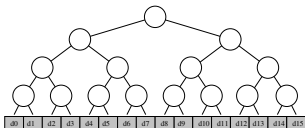
- Regular Merkle Trees (**RMT**)



- Initialized Hollow Merkle Trees (**I-HMT**)



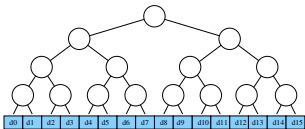
- Non-Initialized Hollow Merkle Trees (**NI-HMT**)



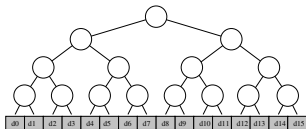
# Merkle Trees

## Initialization

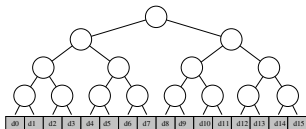
- Regular Merkle Trees (**RMT**)



- Initialized Hollow Merkle Trees (**I-HMT**)



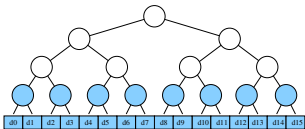
- Non-Initialized Hollow Merkle Trees (**NI-HMT**)



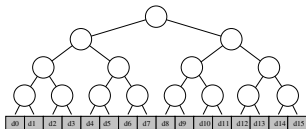
# Merkle Trees

## Initialization

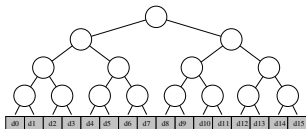
- Regular Merkle Trees (**RMT**)



- Initialized Hollow Merkle Trees (**I-HMT**)



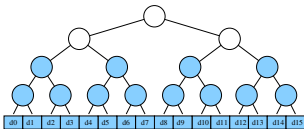
- Non-Initialized Hollow Merkle Trees (**NI-HMT**)



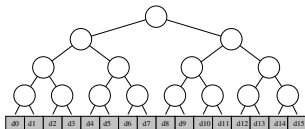
# Merkle Trees

## Initialization

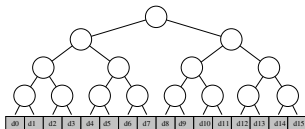
- Regular Merkle Trees (**RMT**)



- Initialized Hollow Merkle Trees (**I-HMT**)



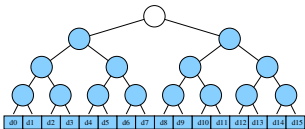
- Non-Initialized Hollow Merkle Trees (**NI-HMT**)



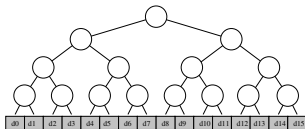
# Merkle Trees

## Initialization

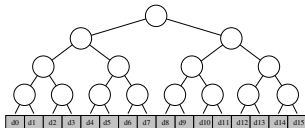
- Regular Merkle Trees (**RMT**)



- Initialized Hollow Merkle Trees (**I-HMT**)



- Non-Initialized Hollow Merkle Trees (**NI-HMT**)

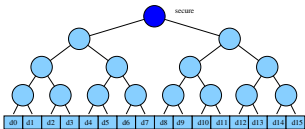




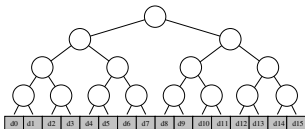
# Merkle Trees

## Initialization

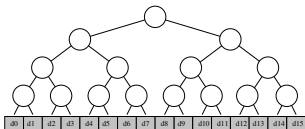
### ■ Regular Merkle Trees (RMT)



### ■ Initialized Hollow Merkle Trees (I-HMT)



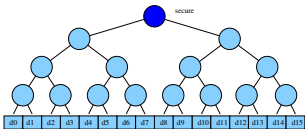
### ■ Non-Initialized Hollow Merkle Trees (NI-HMT)



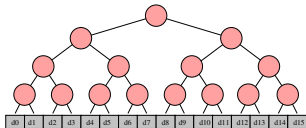
# Merkle Trees

## Initialization

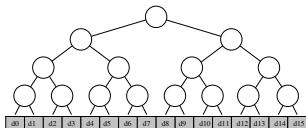
### ■ Regular Merkle Trees (RMT)



### ■ Initialized Hollow Merkle Trees (I-HMT)



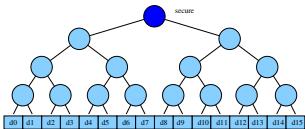
### ■ Non-Initialized Hollow Merkle Trees (NI-HMT)



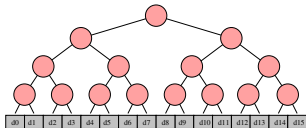
# Merkle Trees

## Initialization

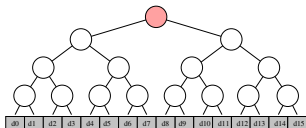
- Regular Merkle Trees (RMT)



- Initialized Hollow Merkle Trees (I-HMT)



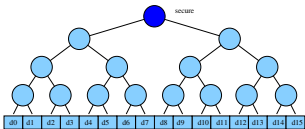
- Non-Initialized Hollow Merkle Trees (NI-HMT)



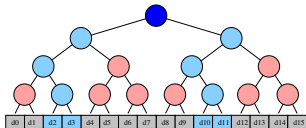
# Merkle Trees

## Update

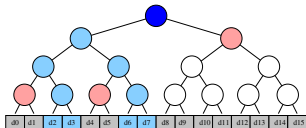
### ■ Regular Merkle Trees (RMT)



### ■ Initialized Hollow Merkle Trees (I-HMT)



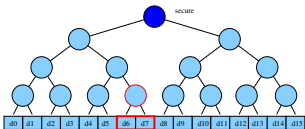
### ■ Non-Initialized Hollow Merkle Trees (NI-HMT)



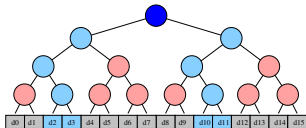
# Merkle Trees

## Update

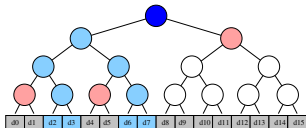
### ■ Regular Merkle Trees (RMT)



### ■ Initialized Hollow Merkle Trees (I-HMT)



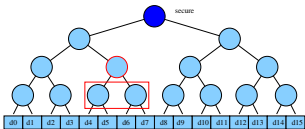
### ■ Non-Initialized Hollow Merkle Trees (NI-HMT)



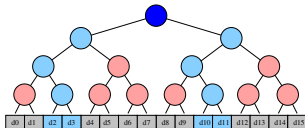
# Merkle Trees

## Update

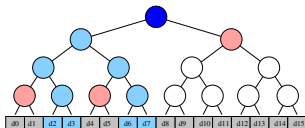
### ■ Regular Merkle Trees (RMT)



### ■ Initialized Hollow Merkle Trees (I-HMT)



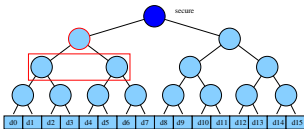
### ■ Non-Initialized Hollow Merkle Trees (NI-HMT)



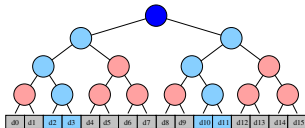
# Merkle Trees

## Update

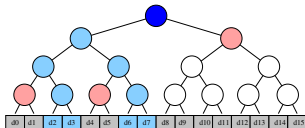
### ■ Regular Merkle Trees (RMT)



### ■ Initialized Hollow Merkle Trees (I-HMT)



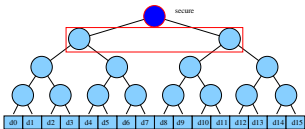
### ■ Non-Initialized Hollow Merkle Trees (NI-HMT)



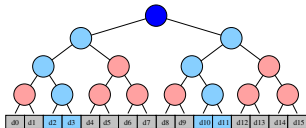
# Merkle Trees

## Update

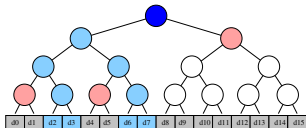
### ■ Regular Merkle Trees (RMT)



### ■ Initialized Hollow Merkle Trees (I-HMT)



### ■ Non-Initialized Hollow Merkle Trees (NI-HMT)

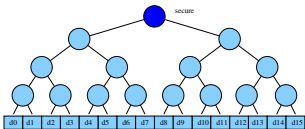




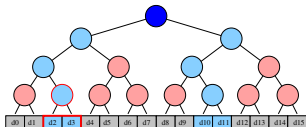
# Merkle Trees

## Update

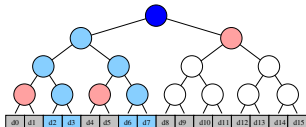
### ■ Regular Merkle Trees (RMT)



### ■ Initialized Hollow Merkle Trees (I-HMT)



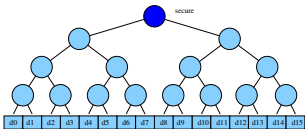
### ■ Non-Initialized Hollow Merkle Trees (NI-HMT)



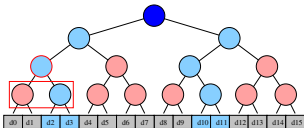
# Merkle Trees

## Update

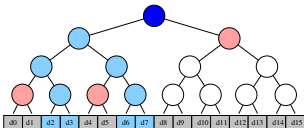
### ■ Regular Merkle Trees (RMT)



### ■ Initialized Hollow Merkle Trees (I-HMT)



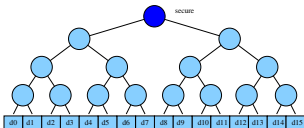
### ■ Non-Initialized Hollow Merkle Trees (NI-HMT)



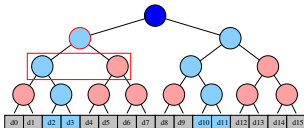
# Merkle Trees

## Update

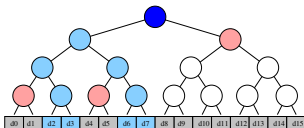
### ■ Regular Merkle Trees (RMT)



### ■ Initialized Hollow Merkle Trees (I-HMT)



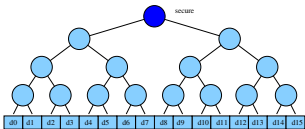
### ■ Non-Initialized Hollow Merkle Trees (NI-HMT)



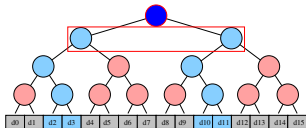
# Merkle Trees

## Update

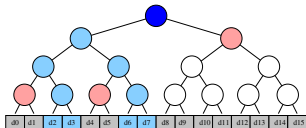
### ■ Regular Merkle Trees (RMT)



### ■ Initialized Hollow Merkle Trees (I-HMT)



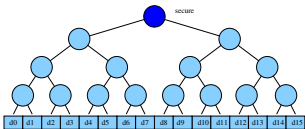
### ■ Non-Initialized Hollow Merkle Trees (NI-HMT)



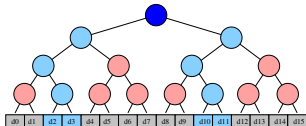
# Merkle Trees

## Update

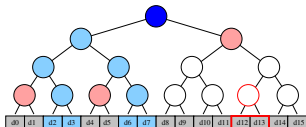
### ■ Regular Merkle Trees (RMT)



### ■ Initialized Hollow Merkle Trees (I-HMT)



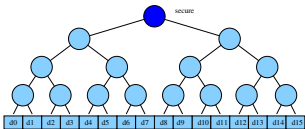
### ■ Non-Initialized Hollow Merkle Trees (NI-HMT)



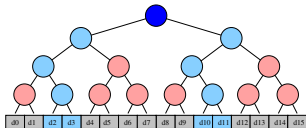
# Merkle Trees

## Update

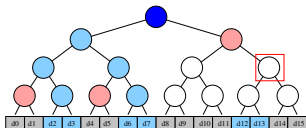
### ■ Regular Merkle Trees (RMT)



### ■ Initialized Hollow Merkle Trees (I-HMT)



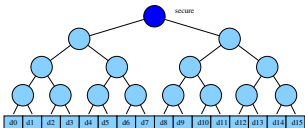
### ■ Non-Initialized Hollow Merkle Trees (NI-HMT)



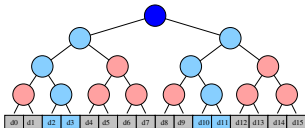
# Merkle Trees

## Update

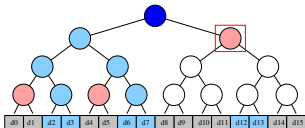
- Regular Merkle Trees (RMT)



- Initialized Hollow Merkle Trees (I-HMT)



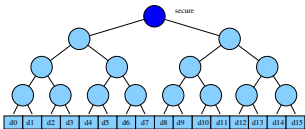
- Non-Initialized Hollow Merkle Trees (NI-HMT)



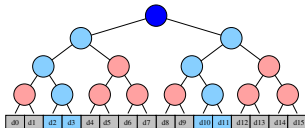
# Merkle Trees

## Update

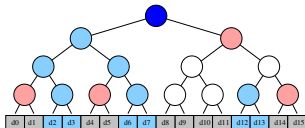
- Regular Merkle Trees (RMT)



- Initialized Hollow Merkle Trees (I-HMT)



- Non-Initialized Hollow Merkle Trees (NI-HMT)

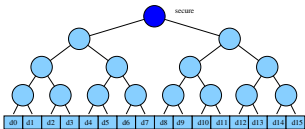




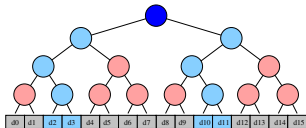
# Merkle Trees

## Update

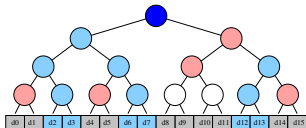
- Regular Merkle Trees (RMT)



- Initialized Hollow Merkle Trees (I-HMT)



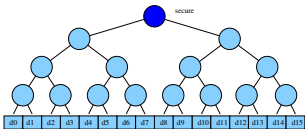
- Non-Initialized Hollow Merkle Trees (NI-HMT)



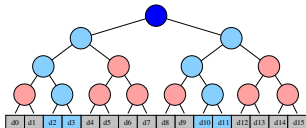
# Merkle Trees

## Update

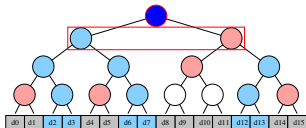
### ■ Regular Merkle Trees (RMT)



### ■ Initialized Hollow Merkle Trees (I-HMT)



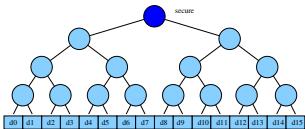
### ■ Non-Initialized Hollow Merkle Trees (NI-HMT)



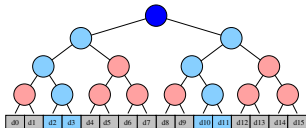
# Merkle Trees

## Update

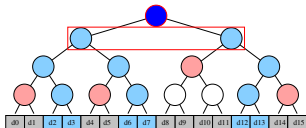
### ■ Regular Merkle Trees (RMT)



### ■ Initialized Hollow Merkle Trees (I-HMT)



### ■ Non-Initialized Hollow Merkle Trees (NI-HMT)





# Plan

Introduction

Merkle Trees Management

**Merkle Tree Caches**

Experiments and Results

Conclusion



## Use of Cache

- The use of cache decreases the bandwidth with the mass storage and, also reduces the number of digest computations
- Two particular algorithms are introduced:
  - **ASAP**: the integrity checking ends as soon as we match an intermediate node into the cache
  - **ALAP**: the update of node into the untrusted storage is delayed as late as possible storage



# Use of Cache

- The use of cache decreases the bandwidth with the mass storage and, also reduces the number of digest computations
- Two particular algorithms are introduced:
  - **ASAP**: the integrity checking ends as soon as we match an intermediate node into the cache
  - **ALAP**: the update of node into the untrusted storage is delayed as late as possible storage

## Issue

ALAP algorithm causes Merkle tree incoherency between the nodes stored into the cache and that stored into the untrusted storage



# Use of Cache

- The use of cache decreases the bandwidth with the mass storage and, also reduces the number of digest computations
- Two particular algorithms are introduced:
  - **ASAP**: the integrity checking ends as soon as we match an intermediate node into the cache
  - **ALAP**: the update of node into the untrusted storage is delayed as late as possible storage

## Issue

ALAP algorithm causes Merkle tree incoherency between the nodes stored into the cache and that stored into the untrusted storage

## Cache customisation

Modify the behavior of **Write-back** policy (i.e. modify READ and WRITE functions of cache controller and append new functions)



# Plan

Introduction

Merkle Trees Management

Merkle Tree Caches

**Experiments and Results**

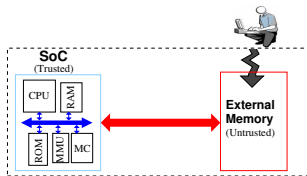
Conclusion



# Case Study: SecBus Project

## Purpose

Provide a strong confidentiality and integrity protection against on-board attacks (including replay attacks)



# Initialization

- Memory interconnect latency: 100 CPU clock cycles
- DES algorithm latency: 4 CPU clock cycles
- Size of MT and memory page: 4 KB
- Number of random writes: 12,000
- Merkle Tree (MT) cache: Set associative, 64 sets, 8 blocks, 8-byte blocks, LRU, write-back

## Use of HMT

- I-HMT: 22.5 times faster vs RMT
- NI-HMT: 186.5 times faster vs RMT

Schemes	cycle	access	MAC
RMT	4,219,439	29,142	10,885
NI-HMT	101,559	637	372
I-HMT	250,988	21,033	378

**Table 1:** Initialization step without cache

Schemes	cycle	access	MAC
RMT	4,097,234	28,480	10,331
NI-HMT	21,975	186	72
I-HMT	181,646	20,638	47

**Table 2:** Initialization step with cache

# Random Writes

- Memory interconnect latency: 100 CPU clock cycles
- DES algorithm latency: 4 CPU clock cycles
- Size of MT and memory page: 4 KB
- Number of random writes: 12,000
- Merkle Tree (MT) cache: Set associative, 64 sets, 8 blocks, 8-byte blocks, LRU, write-back

## Use of Cache

with cache is 6.5 times faster vs without cache

Schemes	cycle	access	MAC
RMT	102,515,933	684,103	432,000
NI-HMT	102,929,333	688,063	432,264
I-HMT	102,515,933	684,103	432,000

Table 3: Random writes without cache

Schemes	cycle	access	MAC
RMT	15,808,417	130,917	36,91
NI-HMT	16,116,007	135,472	38,183
I-HMT	15,921,940	130,988	37,099

Table 4: Random writes with cache



# Plan

Introduction

Merkle Trees Management

Merkle Tree Caches

Experiments and Results

**Conclusion**



## Conclusion

- Two optimizations of Merkle trees have been introduced to speed up initialization, integrity checking and tree updates
- The results shows an improvement of the trees initialization by using Hollow Merkle trees
- The cache improves the performance after the initialization
- The choice between the two types of Hollow Merkle trees depends on the use case

Thank you for your attention